

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

5

Complete if Known

Application Number	10/613,443
Filing Date	July 3, 2003
First Named Inventor	GOLDFARB, ERIC A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020489-000120US

U.S. PATENT DOCUMENTS*

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
NR	1	2,108,206		02-05-1938	Meeker	
	2	3,378,010		04-16-1969	Codling et al.	
	3	3,671,979		06-27-1972	Moulopoulos	
	4	3,874,338		04-01-1975	King et al.	
	5	4,056,854		11-08-1977	Boretos et al.	
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	11	5,042,707		08-27-1991	Taheri	
	12	5,049,153		09-17-1991	Nakao et al.	
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	14	5,171,252		12-15-1992	Friedland	
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	17	5,254,130		10-19-1993	Poncet et al.	
	18	5,332,402		07-26-1994	Teitelbaum	
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	21	5,411,552		05-02-1995	Anderson et al.	
	22	5,417,700		05-23-1995	Egan	
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	27	5,478,353		12-26-1995	Yoon	
	28	5,520,701		05-28-1996	Lerch	
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	36	5,634,932		06-03-1997	Schmidt	
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	39	5,716,367		02-10-1998	Koike et al.	
	40	5,718,725		02-17-1998	Sterman et al.	
	41	5,769,812		06-23-1998	Stevens et al.	

Examiner
Signature

Natalie P. [Signature]

Date
Considered

7/19/06

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Sheet **2** of **5****Complete if Known**

Application Number	10/613,443
Filing Date	July 3, 2003
First Named Inventor	GOLDFARB, ERIC A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020489-000120US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
NRP	42	5,797,927		08-25-1998	Yoon	
	43	5,797,960		08-25-1998	Stevens et al.	
	44	5,810,847		09-22-1998	Laufer et al.	
	45	5,823,956		10-20-1998	Roth et al.	
	46	5,824,065		10-20-1998	Gross	
	47	5,827,237		10-27-1998	Macoviak et al.	
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	65	6,306,133		10-23-2001	Tu et al.	
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	67	6,355,030		03-12-2002	Aldrich et al.	
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	69	US-2002/0087148		07-04-2002	Brock et al.	
	70	US-2002/0087169		07-04-2002	Brock et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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	72	EP	558031		09-01-1993	Green et al.		<input type="checkbox"/>
	73	EP	179562		07-12-1989	Fisher et al.		<input type="checkbox"/>
	74	EP	684012	A2	02-16-1995	Konrad et al.		<input type="checkbox"/>
	75	GB	2151142		07-17-1985	Bonnet		<input type="checkbox"/>
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Sheet **3** of **5****Complete if Known**

Application Number	10/613,443
Filing Date	July 3, 2003
First Named Inventor	GOLDFARB, ERIC A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020489-000120US

FOREIGN PATENT DOCUMENTS

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	78	WO	81/00668		03-19-1981	Jansen		<input type="checkbox"/>
	79	WO	91/01689		02-21-1991	Segalowitz		<input type="checkbox"/>
	80	WO	92/12690		08-06-1992	Love et al.		<input type="checkbox"/>
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	83	WO	97/39688		10-30-1997	Laufer et al.		<input type="checkbox"/>
	84	WO	98/07375		02-26-1998	Michler et al.		<input type="checkbox"/>
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	86	WO	98/35638		08-20-1998	Laufer		<input type="checkbox"/>
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	90	WO	00/03759		01-27-2000	Kuehn et al.		<input type="checkbox"/>
	91	WO	01/28432	A1	04-26-2001	Allen et al.		<input type="checkbox"/>
	92	WO	01/26557	A1	04-19-2001	Grimes		<input type="checkbox"/>

Examiner
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Considered

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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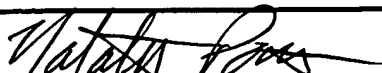
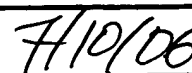
Sheet 4 of 5

Complete if Known

Application Number	10/613,443
Filing Date	July 3, 2003
First Named Inventor	GOLDFARB, ERIC A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020489-000120US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
NRP	93	Abe et al., "De Vega's annuloplasty for acquired tricuspid disease: Early and late results in 110 patients" Ann. Thorac. Surg. (1989) 48:670-676.	
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	95	Alvarez et al., "Repairing the degenerative mitral valve: Ten- to fifteen-year follow-up" J. Thorac. Cardiovasc. Surg. (1996) 112:238-247.	
	96	Bach et al., "Early improvement in congestive heart failure after correction of secondary mitral regurgitation in end-stage cardiomyopathy" Am. Heart J. (1995) 129:1165-1170.	
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	101	Fucci et al., "Improved results with mitral valve repair using new surgical techniques" Eur. J. Cardiothorac. Sug. (1995) 9:621-627 (Medline Record enclosed herewith.).	
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Examiner
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Date
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 5 of 5

Complete if Known

Application Number	10/613,443
Filing Date	July 3, 2003
First Named Inventor	GOLDFARB, ERIC A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020489-000120US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
NRP	109	Tager et al., "Long-term follow-up of Rheumatic patients undergoing left-sided valve replacement with tricuspid annuloplasty—Validity of preoperative echocardiographic criteria in the decision to perform tricuspid annuloplasty" Am. J. Cardiol. (1998) 81:1013-1016.	
	110	Uchida et al., "Percutaneous cardiomyotomy and valvulotomy with angioscopic guidance" Am. Heart J. (1991) 121:1221-1224.	
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Examiner
Signature*Natasha Prou*Date
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PTO/SB/08A (07-05)

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Sheet 1 of 2		Complete if Known	
		Application Number	10/613,443
		Filing Date	July 3, 2003
		First Named Inventor	GOLDFARB, ERIC A.
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NPS	AA	US-5,741,280	04-29-1998	Fleenor	
	AB	US-5,916,147	06-29-1999	Boury	
	AC	US-6,562,037	02-12-1998	Paton et al.	
	AD	US-6,828,930	09-30-2003	Allen et al.	
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	AR	US-2004/0238354	11-25-2004	Seguin	
	AS	US-2005/0004583	01-06-2005	Oz et al.	
	AT	US-2005/0038508	02-17-2005	Gabbay	
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	AV	US-2005/0055089	03-10-2005	Macoviak et al.	
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Examiner Signature	<i>Natalia P...</i>	Date Considered	7/10/06
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